



# MANAGING SBP AUDITS - A PROACTIVE APPROACH



**Date: Wednesday, April 11, 2018**  
**Venue: IBP, Karachi**

**Fee: PKR 10,500/-  
per participant**  
**Timings: 9 am-5 pm**

## OVERVIEW

The banking industry is working under the Regulator guidance, and as per the State Bank of Pakistan (SBP), audit is an independent assurance and consulting activity which helps organizations in accomplishing the objectives by bringing a systematic and disciplined approach to evaluate and improve the effectiveness of the organization and governance process. Hence, SBP conducts regular audit of the banks to ensure that they are following the best practices as laid out through laws and regulations.

This course highlights the proactive approach of the Commercial banks to meet and manage the SBP Audit, and also ensure that the relevant staff is prepared to handle audit and inspection.

The Compliance Officer and the Management of the bank must adopt a proactive approach in case of SBP audit and get things done prior to any breaches highlighted under SBP's audit.

## DISCOUNT

**5%  
DISCOUNT**  
on 3-5 nominations

**10%  
DISCOUNT**  
on 6+ nominations

## COURSE CONTENTS

Impact of Non – compliance of SBP / Bank's directives

- Imposition of Penalty
- Pointed out KYC / Anti-money Laundering
- Cases related to Frauds and Parallel banking
- Violation of Foreign Trade regulations
- All others operational issues (e.g. cash/ATM/ Utility Bills etc).

## WHO SHOULD ATTEND

- Operation Officers
- Compliance officers
- Auditors

## FACILITATOR

### Mr. Mahmood Ahmed

Mr. Mahmood Ahmed is a seasoned banker with rich experience of over 30 years in the banking industry. He started his career from domestic banking and has worked in the areas of international banking, trade finance, credit, and also of corporate audit, including experience of overseas audit of Habib Bank Ltd.'s (HBL), branches. Mr. Mahmood Ahmed also worked in HBL Bahrain as Manager Trade. He remained associated with the audit group of one of the leading commercial banks of Pakistan.

## CONTACT

**Karachi**

Ms. Zareen Bano  
Tel: (021) 35277557  
Email: zareen.bano@ibp.org.pk

Mr. Muhammad Akram  
Tel: (021) 35277511  
Email: m.akram@ibp.org.pk

Ms. Saba Majeed  
Tel: (021) 35277522  
Email: saba.majeed@ibp.org.pk

Mr. Arif Ali  
Tel: (021) 35277532  
Email: ali.arif@ibp.org.pk

Mr. Yasir Jawed  
Tel: (021) 35277512  
Email: yasir.jawed@ibp.org.pk

