

# SBP INSPECTION, REGULATORY COMPLIANCE & BANKS' OPERATIONAL ISSUES

DATE: AUGUST 28, 2018 | LAST DATE OF REGISTRATION: AUGUST 27, 2018  
CITY: NIBAF, ISLAMABAD | FACILITATOR: MUDAYYAR NAQVI



FEE: PKR 12,500/- per participant  
TIMINGS: 9 AM - 5 PM

## OVERVIEW

'SBP Audit & Inspection' is an independent, objective assurance and consulting activity designed to add value and improve an organizations' operation through implementation of strong internal controls and to mitigate business/operational risks. Hence it helps an organization to accomplish its objectives by bringing systematic, disciplined approach to evaluate and improve the effectiveness of risk management, controls and governance processes as per Regulator's Requirements. It is, therefore, need of the time to enhance our understanding about 'SBP Inspection, Regulatory Compliance & Operational Issues' in order to avoid 'SBP Penalties'.

This Exclusive Training Program would help all stakeholders to contribute in more professional manner to convert their organization into a dynamic, sustained and progressive institution in terms of sharing updated Operational, Statutory & Regulatory knowledge by focusing on field front.

## CONTENTS

- Regulatory & Statutory Requirements for Banks – Global & Domestic Fronts
- SBP Statutory Powers under BCO, 1962 & SBP Act, 1956 for A & I
- SBP Regulatory Requirements for On-Site & Off-Monitoring, Surveillance & Vigilance.
- COSO, FMU & FATF Mandates and Reporting Requirements under Banking Statutes.
- GOP/SECP Framework – Disclosure of Information & Reporting Matrix.
- Scenario Based Case Study on SBP Inspection, & ICCs Checks
- Banks Operational Mechanism - Issues Vs. Challenges & Solutions
- Bank's Operational Controls Matrix & Reporting Formats – Penalty Prone Areas
- Acronyms & Sharing Updates on Regulators Directives/Circulars & Guidelines
- Case Studies and Learning Exercises for ICCs, FRM & CRM

## WHO SHOULD ATTEND?

- Supervisors
- Auditors & Inspectors
- Managers & Operational Managers

- Internal Auditors & Controllers
- Compliance Officers
- Field Executives/Officers

## FACILITATOR

**Mr. MUDAYYAR H. NAQVI**

**Executive Trainer – National Bank of Pakistan**

Mr. Mudayyar H. Naqvi is Vice President and Member Directing Staff at NBP Staff College, Islamabad. He is a seasoned banker having more than 22 years banking exposure and has served at senior positions in the field of banking as 'Manager' and in Controlling Offices at various supervisory positions. Besides his banking experience, he has served as a Professional Lawyer in a CA firm and pleaded in apex courts for civil, criminal and banking matters. Moreover, he has been serving at National Bank of Pakistan (NBP) as Executive Trainer for the last 12 years and he is visiting faculty member of various Banking Training/Educational Institutes such as NIBAF, FIA, NAB, PMI, APCOMS, BSS etc. His academic qualifications include B.COM. LLB. & MBA and Professional Qualifications include PGD in Banking Laws DIBL, DAIBP (P) & ACMA (P).

## SPECIAL DISCOUNTS

**5%  
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## FOR DETAILS PLEASE CONTACT

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