



# FRAUD RISK MANAGEMENT & REPORTING – SBP GUIDELINES

An ISO 9001 : 2015 Certified Company

A Company Set Up Under Section 42 of the Companies Act, 2017

**DATE: FEBRUARY 26, 2019 | LAST DATE OF REGISTRATION: FEBRUARY 25, 2019**  
**CITY: SIALKOT | FACILITATOR: MR. ATIQ UR REHMAN**

**FEE: PKR 12,500/- per participant**  
**TIMINGS: 9 AM - 5 PM**

## OVERVIEW

A major reason why people commit fraud is because they are allowed to do so. There are a wide range of threats facing businesses. The threat of fraud can come from inside or outside the organization, but the likelihood that a fraud will be committed is greatly decreased if the potential fraudster believes that the rewards will be modest, that they will be detected or that the potential punishment will be unacceptably high. The main way of achieving this must be to establish a comprehensive system of control which aims to prevent fraud, and where fraud is not prevented, increases the likelihood of detection and increases the cost to the fraudster.

This one-day training workshop will enable participants to understand the significance of FRM & Reporting, particularly emphasizing accurate reporting to SBP to secure the reputational risk of the organization and to avert the losses faced by the banks. Techniques will be used to show how to meet the regulatory as well as international compliance of rules and regulations to safeguard the banking channels from penalization. Case studies will sharpen the learning skills of the participants, and they will learn how fraud triangle method is used and employed by internal as well as external customers. Bank officials will learn how to control and scrutinize such transactions, which leads to frauds and serious consequences.

## CONTENTS

- Fraud – its Extent, Patterns and Causes
  - What is Fraud?
  - Why do people commit Fraud? (The Fraud Triangle)
  - Who commits Fraud?
- Risk Management – An Overview
  - What is Risk Management?
  - Identify Risk Areas
  - Develop a Risk Response Strategy
- An Overview of SBP FRM & Reporting Policy 2014
  - SBP FRM & Reporting Policy – Need & Importance
  - An overview - SBP FRM & Reporting Policy (BPRD Circular # 03/14, dt.20.02.2014)
- Case Study on Fraud & Forgery
  - SBP Internal Controls & Whist Blowing Policy – An Overview
- Group Activity on SBP Reporting Formats of FRM
  - Annexure A - Form I to Form V
  - Annexure B – Form VI
  - General Instructions for Data Input
- Fraud Prevention
  - A strategy to combat Fraud
  - Developing a sound Ethical Culture

- Fraud Detection
  - Detection Methods
  - Tools and Techniques

## WHO SHOULD ATTEND?

- Branch and Operations Managers
- Anti-Fraud Unit Resources/Personnel, Front Office Personnel, Relationship Managers
- Audit, Internal Control Officers and Branch Compliance Officers
- Resources Dealing with Assets and Liabilities Products

## FACILITATOR

**Mr. Atique ur Rehman**  
AVP, National Bank of Pakistan (NBP)

Mr. Atique ur Rehman is an experienced banker with more than 23 years of experience in the areas of Branch Banking Operations, Advances and Foreign Trade. During his banking career, he has worked in various positions including, Manager Operations, In-charge Credits and Forex, Credit Manager etc. Currently he is serving as Assistant Vice President at Staff College of National Bank of Pakistan (NBP). He has conducted various training courses in the areas of Branch Banking Operations, Credits and Foreign Trade at NBP Staff College as well as from the platform of The Institute of Bankers Pakistan (IBP).

## SPECIAL DISCOUNTS

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## FOR DETAILS PLEASE CONTACT

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