

# SBP INSPECTION REGULATORY COMPLIANCE AND BANKS' OPERATIONAL ISSUES

**DATE:** Saturday, February 23, 2019  
**FEE:** PKR 12,500/- per participant  
**VENUE:** IBP, M.T. Khan Road, Karachi  
**TIMINGS:** 9:00 am to 5:00 pm

**5%**  
DISCOUNT  
on 3-5 nominations

**10%**  
DISCOUNT  
on 6+ nominations

**5%**  
DISCOUNT  
for all member  
Institutions of IBP

**LAST DATE OF REGISTRATION**  
February 21, 2019

**COURSE FACILITATOR**  
Mr. Faisal Shafaat

## COURSE OVERVIEW

Ensuring effective regulatory compliance in all segments of operations remains a challenge for banks. Non-compliance to regulatory instructions attracts heavy penalties. This program will discuss various aspects of regulatory compliance and ways to further strengthen it.

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The Institute  
of Bankers  
Pakistan



A Company Setup Under Section 42 of the Companies Act, 2017

## COURSE CONTENT

- Overview of Banking Sector in Pakistan
- Why Banking Supervision
- Brief about on-site Inspection and off-site Supervision
- Significance of Regulatory Compliance & International Practices
- Major supervisory issues in the areas of governances, risk management, systems and controls
- Enforcing compliance of SBP Instructions through bank's own Audit and Compliance functions
- Role of IT Systems: To uncover or reveal regulatory breaches?
- Major enforcement tools used by SBP as a means to promote compliance culture
- Q & A Session

## WHO SHOULD ATTEND?

Middle and Senior Management representatives of the Banking Sector.

## FACILITATOR

### Mr. Faisal Shafaat

Faisal Shafaat is a seasoned Central banker and has extensive work experience over 15 years with State Bank of Pakistan in the area of Banking Supervision. As a certified risk professional (FRM) he specializes in banking supervision and risk management practices in financial institutions.

## CONTACT DETAILS:




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**NOTE: Payment should be made on or before the course start date in the name of "THE INSTITUTE OF BANKERS PAKISTAN".**  
Please send nominations/requests for participation at least two days before the training date.



FACILITY AVAILABLE

**DONATE FOR THE SUPREME COURT OF PAKISTAN AND THE PRIME MINISTER OF PAKISTAN DIAMER-BHASHA AND MOHMAND DAMS FUND**

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